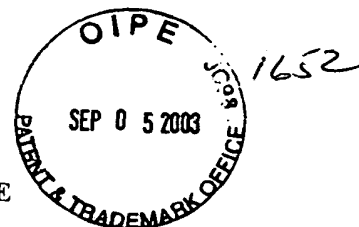


Docket No. 212289US0PCT/shb



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Yoshiya GUNJI, et al.

SERIAL NO: 09/926,299

GAU: 1646

FILED: October 9, 2001

EXAMINER:

FOR: L-AMINO ACID-PRODUCING BACTERIUM AND METHOD FOR PRODUCING L-AMINO ACID

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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OTPE  
SEP 0 5 2003  
PATENT & TRADEMARK OFFICE

SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 212289US0PCT		SERIAL NO. 09/926,299	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Yoshiya GUNJI, et al.			
				FILING DATE October 9, 2001		GROUP 1646	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
✓	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
53	AO	0 857 784	08/12/98	EUROPE			
103	AP	WO 95/16042	06/15/95	WIPO (with English Abstract)			X
	AQ						
	AR						
	AS						
	AT						
	AU						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	J. D. CIRILLO, et al., Molecular Microbiology, vol. 11, no. 4, pages 629-639, XP-002907355, "ISOLATION AND CHARACTERIZATION OF THE ASPARTOKINASE AND ASPARTATE SEMIALDEHYDE DEHYDROGENASE OPERON FROM MYCOBACTERIA", 1994 (with corr. AN Z17372 (reference AX) and AN P41403 (reference AY))					
	AX	Database EMBL "Online !, Database Accession no. Z17372, pages 1-3, XP-002244623, August 9, 1994					
	AY	Database EMBL "Online !, Database Accession no. P41403, pages 1-2, XP-002244624, November 1, 1995					
	AZ	Derwent Abstracts, AN 1993-297465, XP-002244625, JP 05-207886, August 20, 1993				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							